

Policy against Antisocial Forces

Vantage Capital Markets Japan K.K. (hereinafter referred to as the "Company") will not engage in any transactions with antisocial forces.

The Company will not provide any funds to antisocial forces.

If a customer who has already done business with us is found to be an antisocial force, we will promptly take appropriate measures to terminate the transaction.

Regarding the elimination of antisocial forces, the Company will continue to build close cooperative relationships with related external organizations such as the police, lawyers and the Center for the Elimination of Violence.

The Company will not respond to any unreasonable demands from antisocial forces. If unreasonable demands from antisocial forces are recognized, we will take civil or criminal legal action and strive to ensure the safety of the corresponding officers and employees.